As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one)  $_{\rm i}$  is attached hereto or  $_{\rm i}$  was filed on  $_{\rm i}$  May 25, 2001 as Application Serial No.  $_{\rm i}$  09/866,028 and was amended on  $_{\rm i}$  (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

Code of Federal Regulations, Section 1.56.  Increby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filling date before that of the application on which priority is claimed:    Priority Claimed Yes No.   Priority Claimed Yes No.	acknowledge the duty to disclose in	formation which is material to t	he examination of this application in accordance with Title 37,
inventor's certificate listed below and have also identified date before that of the application on which priority is claimed:    Priority Claimed	Code of Federal Regulations, Sect	1011 1.50.	
Prior Foreign Application(s):  Number  Country  Day/Month/Year Filed  Number  Country  Day/Month/Year Filed  Number  Country  Day/Month/Year Filed  Number  Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below:  See attached Appendix A (listing 18 U.S. Provisional Patent Applications)  Application Ser. No.  Filing Date  Thereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner previded by the first paragraph of Title 35, United States Code, §112, Lacknowledge the duty to disclose material information as previded by the first paragraph of Title 35, United States Code, §112, Lacknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:  See Attached Appendix B (listing 19 U.S. and PCT Patent Applications)  Application Ser. No.  Filing Date  Status: Patented, Pending, Abandoned	. I i i i i i i i i i i i i i i i i i i	N 1130€ 3150 IUGHUNGG 6000 ~.	·y · · · · · · · · · · · · · · · · · ·
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Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below:  See attached Appendix A (listing 18 U.S. Provisional Patent Applications)  Filing Date  Thereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner as the subject matter of each of the claims of this application and the defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filling date of the prior application and the national or PCT international filling date of this application:  See Attached Appendix B (listing 19 U.S. and PCT Patent Applications)  Status: Patented, Pending, Abandoned	# - T	Day/Month/Ves	ar Filed
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See attached Appendix A (listing 18 U.S. Provisional Patent Applications)  Application Ser. No. Filing Date  Increby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner as the subject matter of each of the claims of this application is not disclosed in the prior United States application as provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as provided by the first paragraph of Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as prior United States applications in the manner as the subject of the prior United States applications in the manner as the subject of the prior United States application in the manner as the subject of the prior United States application in the manner as the subject of the prior United States application in the manner as the subject of the prior United States application in the manner as the subject of the prior United States application in the manner as the subject of the prior United States ap	Thereby claim the benefit under Little	35, United States Code, 31 10	(0) 01 (11.1)
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POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

South San Francisco, CA 94080

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

Thereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

or agent and the undersigned. In the event of a change in each part and the undersigned herein will be so notified by the undersigned.	
I name of sole or first inventor	
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rentor's signature	8/2/01
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POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157
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1 DNA Way

South San Francisco, CA 94080

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herein will be so notified by the undersigned.	
name of sole or first inventor	
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idence 1006 Indian Run Drive, Darnestown, Maryland 20878	
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office Address	
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ull name of third joint inventor, if any	
Dan L. Eaton	
	Date
nventor's signature	
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75 Knight Dilve, San Ratus, Cambridge Company	
Citizenship	
US	





POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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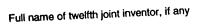
Thereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code knowledge that willful false statements may jeopardize the validity of the application or any patent issued thereon.

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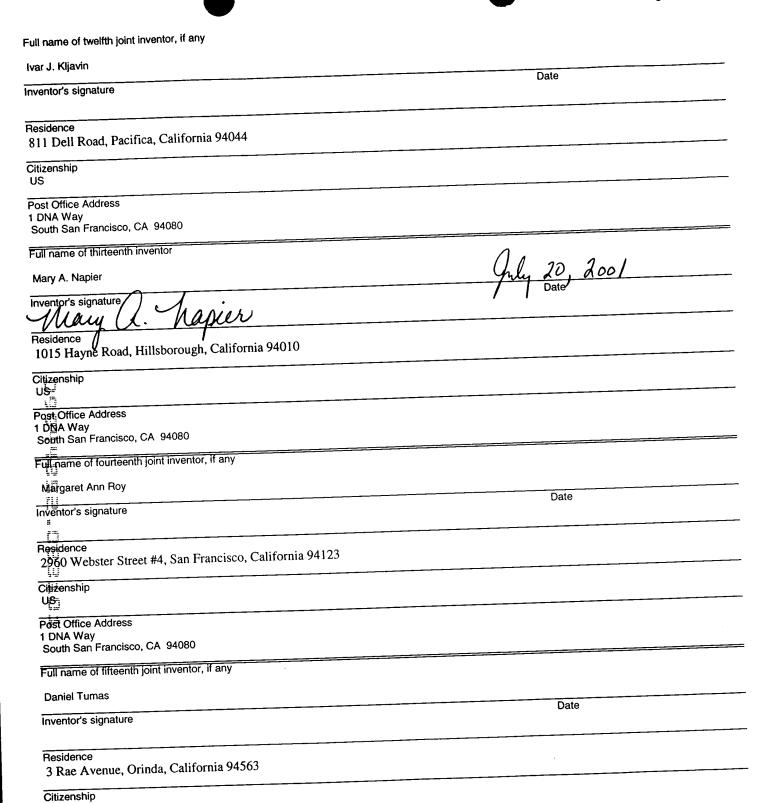
herein will be so notified by the undersigned.	
Full name of sole or first inventor	
Kevin P. Baker	Date
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pleone Ferrara	Date \ \ 7-01
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Audrey Goddard	Date
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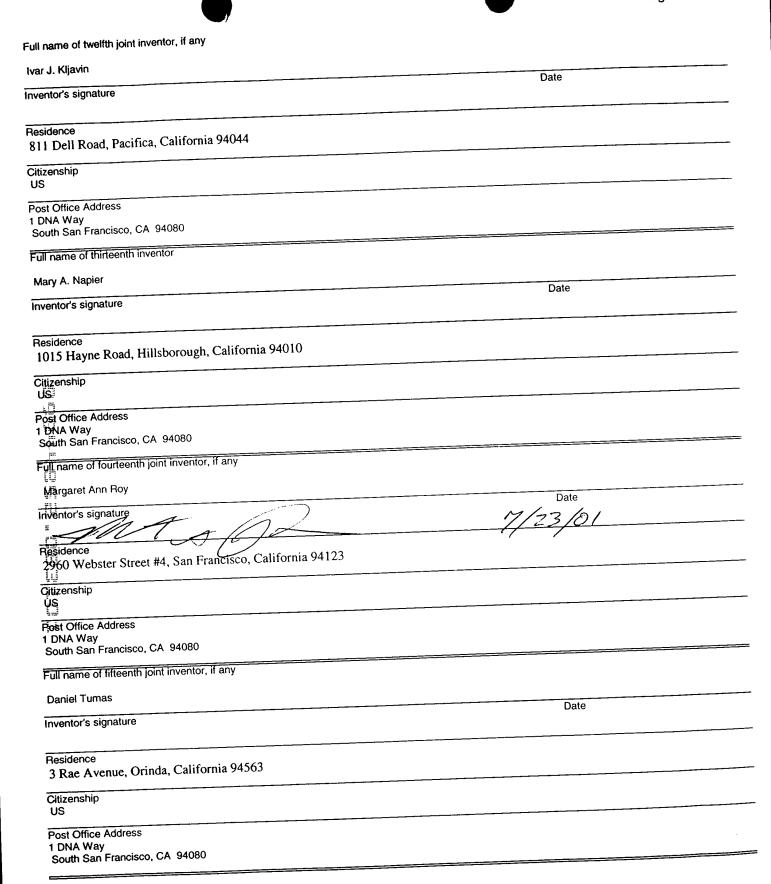
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ventor's signature 67-25	0/
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Mary A. Napier	Date
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I name of twelfth joint inventor, if any	
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ull name of thirteenth inventor	
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nventor's signature	
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1015 Hayne Road, Hillsborough, Camerina	
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#### Full name of sixteenth inventor

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Citizenship	
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Post Office Address 1 DNA Way	
South San Francisco, CA 94080	

#### **APPENDIX A**

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

60/067,411 filed December 3, 1997; 60/069,334 filed December 11, 1997; 60/069335 filed December 11, 1997; 60/069,278 filed December 11, 1997; 60/069,425 filed December 12, 1997; 60/069,696 filed December 16, 1997; 60/069,694 filed December 16, 1997; 60/069,702 filed December 16, 1997; 60/069,870 filed December 17, 1997; 60/069,873 filed December 17, 1997; 60/068,017 filed December 18, 1997; 60/070,440 filed January 5, 1998; 607074,086 filed February 9, 1998; 60/1074,092 filed February 9, 1998; 60/075,945 filed February 25, 1998; 60/112,850 filed December 16, 1998; 60/113,296 filed December 22, 1998; 607146,222 filed July 28, 1999. ļ.£

#### APPENDIX B

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT patent applications listed below:

PCT/US98/19330 filed September 16, 1998;

PCT/US98/25108 filed December 1, 1998;

09/216,021 filed December 16, 1998;

09/218,517 filed December 22, 1998;

09/254,311 filed March 3, 1999;

PCT/US99/12252 filed June 2, 1999;

PCT/US99/21090 filed September 15, 1999;

PCT/US99/28409 filed November 30, 1999;

PCT/US99/28313 filed November 30, 1999;

PCT/US99/28301 filed December1, 1999;

PCT/US99/30095 filed December 16, 1999;

PCT/US00/03565 filed February 11, 2000;

PCT/US00/04414 filed February 22, 2000;

PCT/US00/05841 filed March 2, 2000;

PCT/US00/08439 filed March 30, 2000;

PCT/US00/14042 filed May 22, 2000;

P@T/US00/20710 filed July 28, 2000;

PCT/US00/32678 filed December 1, 2000;

PCT/US01/06520 filed February 28, 2001.

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Kevin P. Baker, et al.

Serial No.: Filed herewith

Filed: Filed herewith

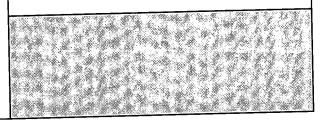
For: Secreted and Transmembrane

Polypeptides and Nucleic Acids

Encoding the Same

Group Art Unit: Unknown

Examiner: Unknown



# ASSOCIATE POWER OF ATTORNEY (37 CFR 1.34) AND CHANGE OF CORRESPONDENCE ADDRESS

BOX: Patent Application Assistant Commissioner of Patents

Washington, D.C. 20231

Sir:

Please recognize as Associate Attorney in this case:

Ralph J. Gabric - Reg. No. 34,167

Paul E. Rauch, Ph.D - Reg. No. 38,591

K. Shannon Mrksich - Reg. No. 36,675

Please direct all communications relative to said pending patent application

to the following address:

Paul E. Rauch, Ph.D.
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Date: August 3, 2001

PATENT TRADEMARK OFFICE

Respectfully submitted, GENENTECH, INC.

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